

APPLICATION FOR APPOINTMENT – Martin County Health Facilities Authority – **Please Print**

Check One: Mr. Mrs. Ms. Miss Dr.

RECEIVED

By Donna Gordon at 3:17 pm, Jan 16, 2026

Name: Gerfaldine Genco (Dube)

Residence Address: 11971 SE Birkdale Run, Tequesta, FL 33469

Street/City/Zip Code

Mailing Address: _____

(if different)

Street/City/Zip Code

Commission District in which you reside: 3 ^{3^{dg}} If left blank, Staff will complete.

Are you available year round to attend meetings? yes no If **no**, what months **are** you available?

Telephone numbers: 1st: 561 745 3893 alternate: 561 371 3947 alternate: _____
Area Codes are considered 772 unless you note otherwise.

EMAIL: GGenco@icloud.com

Are you a Martin County registered voter? yes no

Have you ever pled guilty or “no contest” to a crime, been convicted of a crime, had adjudication withheld, prosecution deferred, been placed on probation, received a suspended sentence or forfeited bail in connection with any offense (except minor traffic violations)? Please show all convictions, including driving while intoxicated (DUI) convictions. yes no If yes, please provide the following information:

TYPE OF OFFENCES: _____

DATES: _____

PLACES (city/state): _____

SENTENCES OR FINES: _____

A conviction record does not necessarily disqualify you for consideration. Factors such as age at time of offense, nature of violation, and rehabilitation will be considered. The Martin County Board of County Commissioners retains the right to remove, at will, any appointee to a Board or Committee with or without cause.

EDUCATION/EXPERIENCE: A resume is recommended to be attached containing this and any other information that would be helpful to the Board in evaluating your application. Resume or letter of qualifications attached? yes no

Education: _____

→ CONTINUED →

Employment Experience: _____

Other experience you feel would be helpful to the Board in making this appointment: _____

Community Experience and Affiliations: Loxa Lucie Headwaters Initiative, former BOD Member
Treasured Lands Foundation

Other County Boards/Committees/Task Forces on which you have served: _____

Do you or any member of your immediate family work for Martin County or do you or a company that you are an officer or employee of have an existing contract with Martin County? If yes, please explain:

No, per email dated 1/16/2026 dg

REFERENCES: Please list two references:

Mr. Frank Harding, Esq
frank8484@gmail.com

Angela Prestia PhD, RN, NE

- Applicant may be required by State Law and County Ordinance to file a Financial Disclosure Statement as part of the appointment process.
- Florida law prohibits an advisory board member from doing business with its agency (the County). Sections 112.313(3) and (7), Florida Statutes. However, upon full disclosure by the Applicant, the conflict may be waived at the discretion of the Board of County Commissioners by a supermajority vote. Section 112.313(12), Florida Statutes.

→ Signature: _____ Geraldine. Genco

Date: _____ January 16, 2026

Applications must be filed with Martin County Administration, 2401 SE Monterey Road, Stuart, Florida 34996 **by Friday, January 30**, 2026. All information submitted becomes public record. If you have any questions, please call (772) 221-1352 or send email to dgordon@martin.fl.us.

GERALDINE A. GENCO (DUBE)

11971 SE BIRKDALE RUN
TEQUESTA, FL 33469
PH: 561 745 3893
GGENCO@ICLOUD.COM

BIO...

My career in finance began in 1987 at Chemical Bank's corporate offices in New York. I was the first person to hold the office of Compliance Director for the bank's wholly-owned Private Banking Investment Advisory affiliate located in Rockefeller Center and reported directly to Chemical's corporate legal department. After leaving Chemical and obtaining additional licenses I held the position of Chief Compliance Officer (CCO) for two national broker/dealer firms and registered investment advisors, J. W. Seligman in New York City and Joseph Charles in Boca Raton, FL. I also worked as a consultant for NYLife in New York City. In 1995 I began work as a consultant and expert witness. My clients have included the Securities and Exchange Commission, the State of Florida Division of Securities & Finance, attorneys, brokerage, and advisory firms throughout the United States.

I was a Board Member of the Loxahatchee River Management Coordinating Council, an organization appointed by Congress and Mayor Emeritus for The Village of Tequesta. I am an active volunteer in my community and prior Board Member of The Treasured Lands Foundation and Past President and retired member of The Securities Experts Roundtable.

EMPLOYMENT...

GERALDINE A. GENCO, CONSULTING

BROKERAGE & INVESTMENT ADVISORY CONSULTING SERVICES

PRINCIPAL

November 1995 through Present

Provider of compliance and litigation consulting for broker/dealers and investment advisors in accordance with the 1933, 1934, and 1940 Act(s) of the Securities and Exchange Commission, and the regulations of the Financial Industry Regulatory Authority. Consulting services include compliance audits, systems design, regulatory conformance advice, forms, manuals, procedures, and regulatory filings. Litigation support (Respondent or Plaintiff) provided for mediation, arbitration and court proceedings, expert reports, case assessment, and testimony. Areas typically cover investment advisory and broker/dealer regulations, industry practices, supervision, suitability, and damages.

JOSEPH CHARLES & ASSOC. FL

1993 through May of 1996

Bear Stearns Correspondent, Regional Brokerage firm, marketing retail investment products.

VICE PRESIDENT, COMPLIANCE DIRECTOR

A start up that grew to 375 employees nationwide. I designed and implemented compliance and operations procedures, manuals for the Broker Dealer, Investment Adviser, and later insurance division. Supervised CRD registrations and transitions, advertising, and compliance with house policies and industry rules. Firm liaison with regulatory authorities as well performed client services.

J & W SELIGMAN AND CO. INC. NYC

1991 through 1992

ASSISTANT VICE PRESIDENT, COMPLIANCE DIRECTOR

Director of Compliance for holding corporation, with compliance responsibility for the Trust Company, Transfer Agent, Registered broker/dealer, Registered Investment Adviser and (Mutual Fund) Investment Company. There were approximately 1,100 registered agents/employees. Monitored and updated compliance systems, procedures and management information systems for the Registered Investment Adviser and broker/dealer. Initiated and submitted reports and licensing activities to various regulatory agencies

THE PORTFOLIO GROUP, INC. NYC
a subsidiary of Chemical Bank

1987 through 1991

COMPLIANCE OFFICER, CORPORATE SECRETARY

The first person to hold this appointment, responsibilities included the interpretation of regulatory requirements and authoring and implementing supervisory and compliance manuals. Designed a management information system for compliance, and formalized records maintenance procedures. Served as Corporate Secretary, maintained corporate records, contracts, and corporate minutes. Directed the dissolution of 2 Chemical Bank affiliated corporations and the absorption of their 2.5 billion dollar client base into our 6.5 billion dollar assets under management.

EXAMS PASSED/QUALIFIED

General Securities Principal (Series 24)
Registered Representative (Series 7)
Investment Banking Representative (Series 79)
Operations Professional (Series 99)
Uniform Investment Adviser Law Exam (Series 65)
Uniform Securities Law Exam (Series 63)

MISCELLANEOUS

Arbitrator, American Arbitration Association and Financial Industry Regulatory Authority
Bellevue University, B.S. Business Management, summa cum laude
Board Member & Former President of the Securities Experts Roundtable, Inc.
Board Member Treasured Lands Foundation.
Broward College, A.S. Biology, summa cum laude
Member of Jupiter Hospital Ambassadors
Member of the Lighthouse Center of the Arts,
Financial Administrator for RA Dube MD, Inc. 2011 – 2016
Guest Lecturer U of Miami School of Law on Broker/Dealer & Advisory Supervision, 2016
Mayor Emeritus & Council Member - Village of Tequesta, 2000 – 2007
Board Member of the Loxahatchee River Management Coordinating Council, 2000-2006
Trustee, General Employees & Public Safety Pension Plan 2002 -2006
Florida Atlantic University Advisory Committee Member, 2001- 2002
Trustee, Juno Tequesta Beach Chamber of Commerce, 2001- 2002