APPLICATION FOR APPOINTMENT – MARTIN COUNTY NEIGHBORHOOD ADVISORY COMMITTEES – PLEASE PRINT

☐ Golden Gate ☐ Hobe Sound ☐ Jensen Beach
☐ Old Palm City ☐ Port Salerno ☑ Rio

resident & prop owner- reg voter since 2010 DG

Type of Member check all that apply:

☑ A resident of the respective Community Redevelopment Agency (CRA), as evidenced by being a registered voter in the respective CRA for at least one year, or

☐ A resident of Martin County, as evidenced by being a registered voter in Martin County for at least one year, who is also a business owner of a business located within the respective CRA; or

☐ A resident of Martin County, as evidenced by being a registered voter in Martin County for at least two years, who is also a senior manager of a business located within the respective CRA; or

☐ A resident of Martin County, as evidenced by being a registered voter in Martin County for at least one year, who also owns real property within a mile of the respective CRA.

RECEIVED
By Donna Gordon at 10:04 am, Jul 20, 2026

☐ If a business owner/senior manager - ***name & physical address of business:*** ______

☐ If a resident of Martin County owning real property within a mile of the respective the CRA – ***physical address of property other than your residence:*** ______

Check One: ☑ Mr. ☐ Mrs. ☐ Ms. ☐ Miss ☐ Dr.

Name: RICHARD KENNEDY

INCUMBENT

Residence Address: 1010 NE Dixie Hwy, Jensen Beach, FL 34957
Street/City/Zip Code

Mailing Address (if different): 2336 SE Ocean Blvd. #329, Stuart, FL 34996
Street/City/Zip Code

Commission District in which you reside: 1 DG Staff will complete.

Are you available year round to attend meetings? ☑ yes ☐ no If **no**, what months **are** you available? ______

Telephone numbers: daytime: 203-979-0225 alternate: ______ alternate: ______
~Area Codes are considered 772 unless you note otherwise.

EMAIL: richardckennedy@gmail.com

Have you ever pled guilty or "no contest" to a crime, been convicted of a crime, had adjudication withheld, prosecution deferred, been placed on probation, received a suspended sentence or forfeited bail in connection with any offense (except minor traffic violations)? Please show all convictions, including driving while intoxicated (DUI) convictions. ☐ yes ☑ no If yes, please provide the following information:

TYPE OF OFFENCES: ______

DATES: ______

PLACES (city/state): ______

→ CONTINUED →

SENTENCES OR FINES: ______________________________

A conviction record does not necessarily disqualify you for consideration. Factors such as age at time of offense, nature of violation, and rehabilitation will be considered. The Martin County Board of County Commissioners retains the right to remove, at will, any appointee to a Board or Committee with or without cause.

EDUCATION/EXPERIENCE: A resume is recommended to be attached containing this and any other information that would be helpful to the Board in evaluating your application. Resume or letter of qualifications attached? ☑ yes ☐ no

Education: Resume on File

Employment Experience: ______________________________

____

Other experience you feel would be helpful to the Board in making this appointment: Have been a member of Rio NAC for 10+ years. Former CRA Board Member

Community Experience and Affiliations: Board Member Habitat for Humanity
Former Treasure of The Pine School

Other County Boards/Committees/Task Forces on which you have served: CRA Board

____

Do you or any member of your immediate family work for Martin County or do you or a company that you are an officer or employee of have an existing contract with Martin County? ☑ no ☐ yes If yes, please explain: ______________________________

____

REFERENCES: Please list two references:

Tim McCreary 772-341-1509   Mike Readling: 772-631-2679

- Applicant may be required by State Law and County Ordinance to file a Financial Disclosure Statement as part of the appointment process.
- Florida law prohibits an advisory board member from doing business with its agency (the County). Sections 112.313(3) and (7), Florida Statutes. However, upon full disclosure by the Applicant, the conflict may be waived at the discretion of the Board of County Commissioners by a supermajority vote. Section 112.313(12), Florida Statutes.

➔ **Signature:** ______________________________ Date: 7/12/26

Applications must be filed with Martin County Administration, 2401 SE Monterey Road, Stuart, Florida 34996 **by Friday, July 31**, 2026. All information submitted becomes public record. If you have any questions, please call (772) 221-1352 or send email to dgordon@martin.fl.us.

Richard Kennedy

2336 SE Ocean Blvd. #329
Stuart, FL 34996

Mobile: 203-979-0225
richardckennedy@gmail.com

Investment professional with extensive "sales-to-settlement" experience. Experienced in most alternative investment styles, derivatives, and structured financing techniques. Effective manager and communicator adept at creating, evaluating, and explaining complex financial structures. Passionately dedicated to providing exceptional products and client service while maintaining a rewarding and challenging work environment.

PROFESSIONAL EXPERIENCE

Multi-Billion Dollar Multi-Strategy Hedge Fund
March 2012 – Present
Independent Director

- Review, advise and approve transactions on esoteric, illiquid assets between related entities of a multi-strategy hedge fund. Instruments include: disaster bonds; privately placed loans, bonds and equities; hedge fund interests; private equity instruments; etc.

Individual Investor/Advisor
June 2010 - Present

- Provide loans and equity investments to businesses from personal assets.
- Review business plans and quarterly reports and provide advice.

Citigroup Global; Markets, Inc. New York, NY
June 2007 – October 2009
Global Head of Synthetic Equity/Prime Swaps, Managing Director

- Built and managed a team of 40+ traders focusing on Delta One strategies including Total Return Swaps, Portfolio Swaps, Index/Sector swaps, Custom Basket Swaps, Participation Notes (Certificates), Synthetic Stock Loan, Bank Loan Swaps, Index Arbitrage, and Structured Complex Solutions. Managed global balance sheet of over $100 billion.
- Created, developed and obtained senior management approval for the Portfolio Swaps product including creating ISDA documentation, building high volume multi-currency trading and reporting system (which included client reporting, GL feed, risk management, P&L, etc.)
- Established policies and procedures to ensure global activities were consistent with the firm's compliance and reputational risk guidelines.
- Unified different trading desks across the firm offering similar products into one business unit offering a suite of products in a consistent focused manner.
- Introduced, developed, launched and managed new products including Bank Loan Swaps and Index Arbitrage.
- Managed the bank's global activities as a member of the Global Equity Management Committee and Prime Finance Executive Management Committee.

Deutsche Bank Securities Inc. New York, NY
1997-June 2007
Co-Head of Synthetic Equity - Americas, Managing Director, Global Markets Equity (January 2006 – June 2007)

- Co head of the following desks and activities in the Americas: ETF Trading, ETF Finance Arbitrage, Equity-Linked Certificates Trading, Flow Total Return Swaps, Index and Sector Swaps, Index Arbitrage, Structured Solutions, Bank Loan Swaps, Synthetic Stock Loan, and Corporate Delta One Derivatives
- Responsible for the activities of 18 traders and managers based in NY.

- Member of the Operating Committee for Global Markets – Equities, Americas.
- Member of the Operating Committee for Global Prime Services.

Global Head of Structured Products, Managing Director, Structured Equity Finance, Global Equity Prime Services (March 2005 – January 2006)

In addition to responsibilities listed below for Head of North America, managed five Traders in London with similar responsibilities. Highlights include:

- Managed the London and NY based Traders/Structurers for $3 billion dollar Corporate Derivatives activity
- Managed the NY and London based Traders for Index and Sector swaps.
- Managed proprietary finance activity including scrip arbitrage and other corporate action related activity.
- Launched Bank Loan finance activity for European markets.
- Managing Member of the Global Prime Services Operating Committee.

Head of North America Structured Products, Managing Director, Structured Equity Finance, Global Equity Prime Services (October 2002 – March 2005)

- Managed daily activities of the Structured Equity Finance (Equity Swaps) desk with five traders focusing on daily flow and three traders focusing on highly structured transactions. Business emphasized Hedge Fund financing activity. Additional time invested in specialized, one-off transactions for Tier One Hedge Funds and non-Hedge Fund clients.
- Managed traders for $3 billion Hedge Fund Swap book hedged with investments in approximately 100 different Hedge Funds.
- Primary responsibility for activities related to Corporate, High-Net-Worth, and other non-Hedge Fund Structured Finance trades with total notional exceeding $3 billion.
- Introduced and described Structured Product offerings to institutional clients, especially Hedge Funds, many whom were currently utilizing only traditional Prime Broker relationships. Created solutions for each client's unique challenges.
- Met regularly with DB Senior Management to develop Policy, Strategy, and Procedures.

Senior Trader, Director, Structured Equity Finance, Global Equity Prime Services (January 2001 – October 2002)

- Priced, traded and hedged Total Return Swaps for hedge fund clients. Primary underlying instruments are equities, convertible securities, and high yield securities.
- Primary responsibility for Index Swaps with total notional exceeding $2 billion.
- Priced, structured, and hedged one-off, special situation transactions including trades that finance bank debt, distressed debt and other non-traditional "equity-like" instruments. Steered the creation of SPVs when necessary. Served as President of a number of entities.
- Positioned the trading book to effectively participate in corporate actions including tender offers.
- Managed the Balance Sheet for the Equities division including inventory management techniques with particular focus on the use of tax efficient structures and entities.

Manager, Vice President, Equity Derivatives Middle Office, Financial Controlling (March 1997 – January 2001)

Part of the team acquired from NatWest Markets to propel Deutsche Bank forward in the Equity Derivatives business, responsible for the development, structuring, and management of the Equity Derivatives Middle Office.

- Defined and created the Middle Office role within Global Equity Derivatives and subsequently, Global Equity Prime Services, leveraging from the Prime Broker Representative model.
- Directed 20 employees in London and New York responsible for client service, derivatives pricing, payments, and reconciliation.
- Developed policy, procedures, systems and infrastructure to accommodate explosive growth with modest headcount increases.

- Maintained and developed relationships with hedge fund clients to instruct them in improving their operational controls and efficiencies.
- Managed the development of system for independent pricing of convertible and unlisted securities.
- Integral part of the design of electronic delivery of client reports and the conceptualization and development of DB's proprietary Portfolio Equity Swap System.
- Conducted the migration of NatWest's and Bankers Trust's Equity Derivatives portfolios following each acquisition by DB.

Lehman Brothers, Inc. New York, NY
1994-1997

Portfolio Sales Assistant, Institutional Equity Sales

Teamed with Senior Relationship Manager in handling relationships with Tier One accounts (those with assets under management exceeding $10 billion.)

- Briefed buy-side Portfolio Managers and buy-side Research Analysts regarding Lehman's daily Research Notes and Commentary as well as other applicable research.
- Summarized Banker/Research and Corporate Management presentations in conjunction with Initial and Secondary Public and Private offerings and communicated our ideas with the appropriate clients.

Middle Office, Structured Products and Credit Derivatives

- Delegated and reviewed daily workload among five analysts and three consultants.
- Provided Middle Office support for Asset swaps, including swaps on CMOs, ABSs, and Structured Notes, and Credit Default swaps.
- Tested and maintained proprietary and commercial risk management and valuation systems (including Summit and other UNIX and PC based systems.)
- Developed spreadsheets to help price Credit Default Swaps.
- Reviewed swap confirmations to ensure accuracy and compliance with ISDA guidelines.

Currency Management International, Woodland Hills, CA
1993-1994

Institutional Marketer

- Marketed this start-up's foreign currency risk management consulting and brokerage services to institutional and corporate clients.
- Pitched firm's services to corporate Treasurers and Chief Financial Officers including firms with annual sales in excess of $1 Billion.
- Developed, created, and produced the firm's marketing materials focusing on Foreign Currency Risk Management for Corporate Treasury units.
- Traded FX Options for firm's proprietary account.

EDUCATION

THUNDERBIRD SCHOOL OF GLOBAL MANAGEMENT, Glendale, Arizona, Master of Business Administration in International Management, 1992.

- Concentration on international investment strategies and treasury risk management

UNIVERSITY OF VERMONT, Burlington, Vermont, Bachelor of Arts, 1986.

- Major in Economics
